



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/618,127	07/11/2003	Gopal Dommetty	50325-0779	4418
29989	7590	08/21/2007	EXAMINER	
HICKMAN PALERMO TRUONG & BECKER, LLP			YALEW, FIKREMARIAM A	
2055 GATEWAY PLACE			ART UNIT	PAPER NUMBER
SUITE 550			2136	
SAN JOSE, CA 95110				
MAIL DATE		DELIVERY MODE		
08/21/2007		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/618,127	DOMMETY ET AL.	
	Examiner Fikremariam Yalew	Art Unit 2136	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

1)  Responsive to communication(s) filed on 17 August 2007.

2a)  This action is **FINAL**.                            2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

4)  Claim(s) 1-39 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5)  Claim(s) \_\_\_\_\_ is/are allowed.  
6)  Claim(s) 1-39 is/are rejected.  
7)  Claim(s) \_\_\_\_\_ is/are objected to.  
8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All    b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1)  Notice of References Cited (PTO-89)

2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)  Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date

4)  Interview Summary (PTO-413)

Paper No(s)/Mail Date. \_\_\_\_\_.

5)  Notice of Informal Patent Application

6)  Other: \_\_\_\_\_

## DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 08/01/2007 has been entered.

2. Claims 1,12,14,23-25 are amended. Claims 1-39 are pending.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. Claims 1,3,6-8,10-13,23-25,27,30-32,34,37-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sharma et al (hereinafter referred as Sharma) US 6,754,716 in view of Daude et al (hereinafter referred as Daude) US Patent No 7,231,660 B1:

5. As per claims 1,23-25:Sharma discloses a method/computer-readable medium/apparatus of restricting Address Resolution Protocol (ARP) table updates to updates originating from authorized subsystems, the method comprising: receiving an

instruction to update an ARP table (See Fig 6 step 602 and col 2 lines 39-43); determining whether the particular subsystem within the network device from which the instruction originated is authorized (See Fig 6 step 604 and col 3 lines 12-34, Fig 1 step 106); and only if the particular subsystem is authorized (See Fig 6 step 604 and col 3 lines 12-34), then updating the ARP table based on the instruction (See Fig 6 step 606 and col 2 lines 55-65 and col 7 lines 9-19).

Sharma does not explicitly teach a particular subsystem of a network device comprising a plurality of subsystems. However Daude discloses a particular subsystem of a network device comprising a plurality of subsystems (See Fig 2 steps 201-205 and col 8 lines 50-67, col 9 lines 4-60).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the teaching method of Daude within Sharma method in order to provide secure communication among multiple network devices.

6. As per claims 3,27,34: the combination of Sharma and Daude disclose the method wherein determining authorized comprise determining whether a Dynamic Host Configuration Protocol (DHCP) server is authorized. (See Daude col 8 lines 51-67 and Fig 2 steps 201-205).

7. As per claims 6,30,37: the combination of Sharma and Daude disclose the method further comprising: if the particular subsystem is not authorized, then preventing the ARP table from being updated based on the instruction (See Sharma Fig 5 step 504)

8. As per claims 7,31,38: the combination of Sharma and Daude disclose the method further comprising: if the particular subsystem is not authorized, then performing the steps of: determining whether a particular network interface through which the instruction was received is contained in a set of one or more specified network interfaces (See Sharma col 5 line 44 through col 6 line 10 and Fig 5 steps 502, 504); if the particular network interface is contained in the set, then preventing the ARP table from being updated based on the instruction (See Sharma Fig 5 step 504 and col 7 line 1-9); and if the particular network interface is not contained in the set, then updating the ARP table based on the instruction(See Sharma Fig 5 step 504 and col 7 line 1-9).

9. As per claims 8,32,39: the combination of Sharma and Daude disclose the method further comprising: if the particular subsystem is not authorized, then performing the steps of: determining whether a particular network address indicated by the instruction is contained in a set of one or more specified network address (See Sharma col 5 line 44 through col 6 line 10 and Fig 5 steps 502, 504); if the particular network address is contained in the set, then preventing the ARP table from being updated based on the instruction (See Sharma Fig 5 step 504 and col 7 line 1-9); and if the particular network address is not contained in the set, then updating the ARP table based on the instruction(See Sharma Fig 5 step 504 and col 7 line 1-9).

10. As per claims 10: the combination of Sharma and Daude disclose the method wherein the ARP table is updated only in response to instructions that are not ARP message (See Sharma col 3 lines 6-34).
11. As per claim 11: the combination of Sharma and Daude disclose the method wherein determining whether the particular system is authorized comprises determining whether the particular subsystem is a Hypertext Transfer Protocol (HTTP) server (See Sharma col 4 lines 22-51).
12. As per claim 12:Sharma discloses a method of restricting Address Resolution Protocol (ARP) table updates to updates originating from authorized subsystems, the method comprising: receiving an instruction to update an ARP table (See Sharma Fig 6 step 602 and col 2 lines 39-43); determining whether a particular network interface through which the instruction was received is contained in a set of one or more specified network interfaces (See Sharma col 5 line 44 through col 6 line 10); determining whether a particular network address indicated by the instruction is contained in a set of one or more specified network addresses(See Sharma Fig 6 step 604 and col 3 lines 12-34); if the particular network interface is not contained in the set of one or more specified network interfaces, and if the particular network address indicated by the instruction is not contained in the set of one or more specified network addresses, then updating the ARP table based on the instruction(See Sharma col 2 lines 55-65 and col 7 lines 1-9); and

if the particular network interface is contained in the set of one or more specified network interfaces, or if the particular network address is contained in the set of one or more specified network addresses, then performing steps comprising: determining whether a particular subsystem from which the instruction originated is authorized (See Sharma Fig 6 step 604 and col 3 lines 12-34); only if the particular subsystem is authorized, then updating the ARP table based on the instruction (See Sharma col 7 lines 9-15); and if the particular subsystem is not authorized, then preventing the ARP table from being updated based on the instruction (See Sharma col 7 lines 1-9).

Sharma does not explicitly disclose a network device on a particular network device among a plurality of network interfaces.

However Daude discloses a network device on a particular network device among a plurality of network interfaces (See Daude col 8 lines 51-67 and Fig 2 steps 201-205).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the teaching method of Daude within Sharma method in order to provide secure communication among multiple network devices.

13. As per claim 13: the combination of Sharman and Daude disclose wherein receiving the instruction to update the ARP table comprises receiving an ARP message that indicates an association between a network layer address and a data link layer address. (See Sharma Fig 2 step 200 and Fig 6 step 606)

**14. Claims 2,4-5,26,28-29,35-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sharma et al (hereinafter referred as Sharma) US 6,754,716 in view of Wilson (US Pub No 2001/0054101) and Daude et al (hereinafter referred as Daude) US Patent No 7,231,660 B1.**

15. As per claim 2,26,33: the combination of Sharma and Daude disclose claim 1 as recited above. The combination of Sharma and Dude do not disclose the particular subsystem is a Dynamic Host Configuration Protocol Server, an Authentication, and Authorization, Accounting (AAA) server or a Network Address Translator (NAT).

However Wilson teaches the particular subsystem is a Dynamic Host Configuration Protocol Server, an Authentication, and Authorization, Accounting (AAA) server or a Network Address Translator (NAT)(See 0007 Fig 3 steps 314,316).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Sharma and Daude to include a Dynamic Host Configuration Protocol Server, an Authentication, and Authorization, Accounting (AAA) server or a Network Address Translator (NAT).

This modification would have been obvious because a person having ordinary skill in the art would have been motivated to do so, as suggested by Sharma (See col 1 line 66 through col 2 line 3) inorder to restrict communications between network devices on common subnet such as any network devices can be restricted to communicating only with a predefined set of authorized or validated network devices.

16. As per claims 4,28,35: the combination of Sharma and Daude discloses claim 1 as recited above. Sharma does not disclose the method wherein determining whether

the particular system is authorized comprises determining whether the particular subsystem is NAT server.

However Wilson teaches the method wherein determining whether the particular system is authorized comprises determining whether the particular subsystem is NAT server. (See 0007 Fig 3 steps 314,316)

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Sharma and Daude to include determining whether the particular system is authorized comprises determining whether the particular subsystem is NAT server.

This modification would have been obvious because a person having ordinary skill in the art would have been motivated to do so, as suggested by (See col 3 lines 16-19) inorder to restrict communications between network devices on common subnet such as any network devices can be restricted to communicating only with a predefined set of authorized or validated network devices.

17. As per claims 5,29,36: the combination of Sharma and Daude disclose claim 1 as recited above. Sharma does not disclose the method wherein determining whether the particular system is authorized comprises determining whether the particular subsystem is an authentication authorization accounting (AAA) server.

However Wilson teaches determining whether the particular system is authorized comprises determining whether the particular subsystem is an authentication authorization accounting (AAA) server (See 0007 Fig 3 steps 314,316)

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Sharma and Daude to include a Dynamic Host Configuration Protocol Server, an Authentication, and Authorization, Accounting (AAA) server or a Network Address Translator (NAT).

This modification would have been obvious because a person having ordinary skill in the art would have been motivated to do so, as suggested by Sharma (See col 1 line 66 through col 2 line 3) in order to restrict communications between network devices on common subnet such as any network devices can be restricted to communicating only with a predefined set of authorized or validated network devices.

**18. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sharma et al (hereinafter referred as Sharma) US 6,754,716 in view of Daude et al (hereinafter referred as Daude) US Patent No 7,231,660 B1 and in further view of Massarani (US 6,393,484 B1).**

19. As per claim 9: the combination of Sharma and Daude disclose claim 1 as recited above. The combination of Sharma and Daude do not disclose the method comprising determining whether a specified amount of time has passed since a time indicated by a timestamp associated with an entry in the ARP table; and if the specified amount of time has passed then removing the entry from the ARP table.

However Massarani teaches the method comprising determining whether a specified amount of time has passed since a time indicated by a timestamp associated with an entry in the ARP table (See abstract and See Fig 7 steps 701); and if the

specified amount of time has passed then removing the entry from the ARP table (See abstract and See Fig 7 steps 701).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Sharma and Daude to include determining whether a specified amount of time has passed since a time indicated by a timestamp associated with an entry in the ARP table; and if the specified amount of time has passed then removing the entry from the ARP table.

This modification would have been obvious because a person having ordinary skill in the art would have been motivated to do so, as suggested by Massarani (See col 3 lines 16-19) inorder to prevent unauthorized devices and users from obtaining network services in a dynamic user address environment.

**20. Claims 14-22 are rejected under 35 U.S.C. 103(a) as being unpatentable Massarani (hereinafter referred as Massarani) US 6,393,484 B1 in view of Chien et al(hereinafter referred as Chien( US Pub No 20030115345) and further in view of Daude et al (hereinafter referred as Daude) US Patent No 7,231,660 B1 .**

**21. As per claim 14: Massarani discloses the method of sending an instruction to update an Address Resolution Protocol (ARP) table in a system in which ARP table updates are restricted to updates originating from authorized subsystems, the method comprising: in response to receiving the message, determining whether the network layer address is bound with a data link layer address in the ARP table (See Fig 3 step 310 and col 5 lines 31-54); and only (See Fig 3 step 310 and col 5 lines 31-54); and if**

the network layer address is not bound with a data link layer address, then sending an instruction to update an ARP table(See Fig 4 step 416 and col 5 lines 31-54)

Massarani does not explicitly teach receiving a request to update the ARP table from a Dynamic Host Configuration Protocol (DHCP) in a DHCP message that indicates a network layer address (See Fig 3 step 308 and col 5 lines 31-54);

However Chien teaches receiving a request to update the ARP table from a Dynamic Host Configuration Protocol (DHCP) in a DHCP message that indicates a network layer address (See paragraph 0063-0066).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Massarani to include receiving a request to update the ARP table from a Dynamic Host Configuration Protocol (DHCP) in a DHCP message that indicates a network layer address.

This modification would have been obvious because a person having ordinary skill in the art would have been motivated to do so, as suggested by Massarani (See col 3 lines 16-19) inorder to prevent unauthorized devices and users from obtaining network services in a dynamic user address environment.

The combination of Massarani and Chien do not disclose subsystem of a network device comprising a plurality of subsystems.

However Daude discloses subsystem of a network device comprising a plurality of subsystems (See Fig 2 steps 201-205 and col 8 lines 50-67,col 9 lines 4-60).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the teaching method of Daude within the

combination of Massarani and Chien in order to provide secure communication among multiple network devices.

22. As per claim 15: the combinations of Massarani-Chien-Daude disclose the method wherein the instruction is to update the ARP table to contain a binding between the network layer address and data link layer address of a DHCP client that sent the message (Massarani col 5 lines 31-54)
23. As per claim 16: the combinations of Massarani-Chien-Daude disclose the method comprising determining whether a lease associated with the network layer address has expired (See Massarani col 7 lines 27-37); and if the lease has expired, then sending an instruction to update the ARP table (See abstract).
24. As per claim 17: the combinations of Massarani-Chien-Daude disclose the method determining whether a lease associated with the network layer address has expired (See Massarani col 7 lines 27-37); and if the lease has expired, then sending an instruction to remove, from the ARP table, an entry that contains the network layer address (See Massarani col 7 lines 27-37).
25. As per claim 18: the combinations of Massarani-Chien-Daude disclose the method comprising receiving a particular DHCP message requests an extension of a lease (See Massarani abstract); and response to receiving the particular DHCP message, sending an instruction to update the ARP table (See Massarani abstract).
26. As per claim 19: the combinations of Massarani-Chien-Daude disclose the method comprising receiving a particular DHCP message that relinquishes a lease (See

abstract); and in response to receiving the particular DHCP message, sending an instruction to update the ARP table (See Massarani abstract).

27. As per claim 20: the combinations of Massarani-Chien-Daude disclose the method comprising if the network layer address is not bound with a data link layer address, then sending an instruction to start a process in connection with the network layer address (See Massarani col 5 lines 25-54).

28. As per claim 21: the combinations of Massarani-Chien-Daude disclose the method comprising determining whether a lease associated with the network layer address has expired (See Massarani Fig 6 step 603); and if the lease has expired, then sending an instruction to stop a process in connection with the network layer address (See Massarani Fig 6 step 603 and col 7 lines 9-23).

29. As per claim 22: the combinations of Massarani-Chien-Daude disclose the method comprising receiving a particular DHCP message that relinquishes a lease (See Massarani Fig 6 step 601); and in response to receiving the particular DHCP message, sending an instruction to stop a process in connection with the network layer address (See Massarani Fig 7 step 704 and col 7 lines 9-23).

### ***Conclusion***

30. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO 892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fikremariam Yalew whose telephone number is 5712723852. The examiner can normally be reached on 9-5.

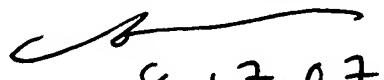
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Moazzami Nasser can be reached on 571-272-4195. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Fikremariam Yalew  
08/17/2007  
FA

Art Unit 2136

NASSER MOAZZAMI  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100



8/17/07